FILE 'HOME' ENTERED AT 16:31:17 ON 24 APR 2001 => file caplus => s microsphere? 16767 MICROSPHERE? => s l1 (s) (DNA? or nucleic acid? or oligonucleotide? or polynucleotide?) 516678 DNA? 106479 NUCLEIC 3539006 ACID? 105674 NUCLEIC ACID? (NUCLEIC(W) ACID?) 49006 OLIGONUCLEOTIDE? 13477 POLYNUCLEOTIDE? 205 L1 (S) (DNA? OR NUCLEIC ACID? OR OLIGONUCLEOTIDE? OR POLYNUCLEO L2IDE?) => s 12 (p) (microtiter or micrototre) 5627 MICROTITER 0 MICROTOTRE 0 L2 (P) (MICROTITER OR MICROTOTRE) L3=> s 12 (p) well?1195561 WELL? 19 L2 (P) WELL? L4=> s 12 (p) array? 74088 ARRAY? 17 L2 (P) ARRAY? L_5 => d ibib 5,6,8 14 ANSWER 8 OF 19 CAPLUS COPYRIGHT 2001 ACS ACCESSION NUMBER: 2000:73909 CAPLUS DOCUMENT NUMBER: 132:261123 TITLE: Techview: Molecular biology: Bead-based fiber-optic arrays AUTHOR(S): Walt, David R. Dep. Chem., Tufts Univ., Medford, MA, 02155, USA CORPORATE SOURCE: Science (Washington, D. C.) (2000), 287(5452), 451-45 SOURCE: CODEN: SCIEAS; ISSN: 0036-8075 American Association for the Advancement of Science PUBLISHER: Journal; General Review DOCUMENT TYPE: English LANGUAGE: REFERENCE COUNT: 26 (1) Abel, A; Anal Chem 1996, V68, P2905 CAPLUS REFERENCE(S): (3) Blanchard, A; Biosens and Bioelectron 1996, V11, P687 CAPLUS (5) Case-Green, S; Curr Opin Chem Biol 1998, V2, P404 (8) Elghanian, R; Science 1997, V277, P1078 CAPLUS (9) Ferguson, J; Nature Biotechnol 1996, V14, P1681

CAPLUS

ALL CITATIONS AVAILABLE IN THE RE FORMAT

L5 ANSWER 2 OF 17 CAPLUS COPYRIGHT 2001 ACS ACCESSION NUMBER: 1:152872 CAPLUS

134:203076 DOCUMENT NUMBER:

134:203076 Liquid array technology TITLE:

INVENTOR(S): Chandler, Mark B.

Luminex Corporation, USA PATENT ASSIGNEE(S): PCT Int. Appl., 62 pp. SOURCE:

CODEN: PIXXD2

DOCUMENT TYPE: Patent English LANGUAGE:

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE _____ _____ WO 2001014589 A2 20010301 WO 2000-US22769 20000821

US 1999-149710 P 19990820 PRIORITY APPLN. INFO.: This invention is directed to compns. and methods of screening, sequencing, and/or quantitating a nucleic acid of interest by hybridizing that nucleic acid with a set of oligonucleotide probes, which are coupled to fluorescently addressable multicolored microparticles. These microparticles are provided as a liq. array that can be positioned in predetd. wells or reaction vessels of a microtiter plate. For sequencing purposes, each such liq. array preferably comprises every possible combination of sequences for a given length of a probe. Hybridization occurs by complementary recognition of the analyte of interest with a probe. Probe, target, and/or competing mol. are tagged with a reporter

ANSWER 4 OF 17 CAPLUS COPYRIGHT 2001 ACS L5

parameters are recorded and analyzed.

ACCESSION NUMBER: 2000:881358 CAPLUS
DOCUMENT NUMBER: 134:39138
TITLE: Combinatorial decoding of random nucleic acid arrays

mol. so that upon hybridization, the changes in fluorescence signal

INVENTOR(S):

INVENTOR(S): Walt, David R.

PATENT ASSIGNEE(S): Illumina, Inc., USA

SOURCE: PCT Int. Appl., 78 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE
WO 2000075373 A2 20001214 APPLICATION NO. DATE -----WO 2000-US13753 20000519

US 1999-135052 P 19990520 PRIORITY APPLN. INFO.:

The invention relates to compns. and methods for combinatorially decoding microsphere array sensors. It provides array compns. comprising a substrate with a surface comprising discrete sites. The compn. further comprises a population of microspheres comprising at least a first and a second subpopulation; each subpopulation comprises a bioactive agent; and an identifier binding ligand that will bind a decoder binding ligand such that the identity of the bioactive agent can be elucidated. The microspheres are distributed on the surface. The microspheres comprise a least a first and a second subpopulation each comprising a bioactive agen and do not comprise an optical signature. The microspheres comprise at least a first and a second subpopulation each comprising a bioactive agen and an identifier nucleotide sequence comprising a primer sequence and a decoding sequence. The invention provides methods of decoding an array

compn. comprising providing an array compn., and adding a plurality of decoding probes completing a priming sequence, a ecoding sequence, and a label, to the array compn. to identify the location of at least a plurality of the bioactive agents. Reagent kits contg. a plurality of nucleic acids with an invariant and a variable sequence is claimed. Each unique nucleotide at the decoding position within a variable sequence has a different label. Use of probes labeled with dyes, Cy5, Cy3, fluorescein, or Biotin, and attached to beads, is described.

ANSWER 5 OF 17 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 2000:842042 CAPLUS

DOCUMENT NUMBER: 134:2308

The use of microfluidic systems in the detection of TITLE:

target analytes using microsphere arrays

Stuelpnagel, John R.; Chee, Mark S.; Gunderson, Kevin INVENTOR(S):

Illumina, Inc., USA PATENT ASSIGNEE(S): PCT Int. Appl., 79 pp. SOURCE:

CODEN: PIXXD2

DOCUMENT TYPE: Patent English LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE _____ -----WO 2000071243 A1 20001130 WO 2000-US13942 20000522

US 1999-316154 A 19990521 PRIORITY APPLN. INFO.:

The invention relates generally to methods and app. for conducting analyses, particularly microfluidic devices for the detection of target analytes. The detection modules of the microfluidic devices described herein are based on previous work comprising a bead-based analytic chem. system in which beads, also termed microspheres, carrying chem. functionalities are distributed on an array substrate comprising a patterned surface of discrete sites that can bind the individual microspheres. The beads are generally put onto the substrate randomly, and thus several different methodologies can be used to "decode" the arrays. In one embodiment, unique optical signatures are incorporateed into the beads, generally fluorescent dyes, that could be used to identif the chem. functionality on any particular bead. This allows the synthesi of the candidate agents (i.e. compds. such as nucleic acids and antibodies) to be divorced from their placement on an array, i.e. the candidate agents may be synthesized on the beads, and then the beads are randomly distributed on a patterned surface.

REFERENCE COUNT:

REFERENCE(S):

(2) Tu, E; US 5632957 A 1997 CAPLUS

(3) Tufts College; WO 9840726 A 1998 CAPLUS

(4) Univ Texas; WO 0004372 A 2000 CAPLUS

(5) Walt, D; US 5244636 A 1993 CAPLUS

(6) Wilding, P; US 5726026 A 1998 CAPLUS

ALL CITATIONS AVAILABLE IN THE RE FORMAT

COPYRIGHT 2001 ACS ANSWER 6 OF 17 CAPLUS ACCESSION NUMBER: 2000:756917 CAPLUS

DOCUMENT NUMBER: 133:306332

Detection of ***nucleic*** ***acid*** TITLE:

reactions on ***microsphere*** or bead

arrays

INVENTOR(S): Gunderson, Kevin; Stuelpnagel, John R.; Chee, Mark S.

Illumina, Inc., USA PATENT ASSIGNEE(S): PCT Int. Appl., 161 pp. SOURCE:

CODEN: PIXXD2

Patent

DOCUMENT TYPE:

LANGUAGE: English

FAMILY ACC. NUM. COUNT: PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE
WO 2000063437 A2 20001026 WO 2000-US10716 20000420

The present invention is directed to methods and compns. for the use of AB ***acid*** reactions. The methods comprise providi ***nucleic*** a hybridization complex comprising the target sequence and a capture prob covalently attached to a microsphere on a surface of a substrate. The hybridization complex can comprise the capture probe, a capture extender probe, and the target sequence. The invention finds use in genotyping, i.e. the detn. of the sequence of nucleic acids, particularly alterations such as nucleotide substitutions (mismatches) and single nucleotide polymorphisms (SNPs). Similarly, the invention finds use in the detection and quantification of a nucleic acid target using a variety of amplification techniques, including both signal amplification and target amplification. The methods and compns. of the invention can be used in nucleic acid sequencing reactions as well. All applications can include the use of adapter sequences to allow for universal ***arrays***

L5 ANSWER 7 OF 17 CAPLUS COPYRIGHT 2001 ACS ACCESSION NUMBER: 2000:726029 CAPLUS

DOCUMENT NUMBER: 134:68196

TITLE: High-Density Fiber-Optic ***DNA*** Random

Microsphere ***Array***

AUTHOR(S): Ferguson, Jane A.; Steemers, Frank J.; Walt, David R.

CORPORATE SOURCE: Max Tishler Laboratory for Organic Chemistry

Department of Chemistry, Tufts University, Medford,

MA, 02155, USA

SOURCE: Anal. Chem. (2000), 72(22), 5618-5624

CODEN: ANCHAM; ISSN: 0003-2700

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal LANGUAGE: English

A high-d. fiber-optic DNA microarray sensor was developed to monitor AΒ multiple DNA sequences in parallel. Microarrays were prepd. by randomly probe-functionalized 3.1-.mu.m-diam. distributing ***DNA*** ***array*** of wells etched in a ***microspheres*** in an 500-.mu.m-diam. optical imaging fiber. Registration of the microspheres was performed using an optical encoding scheme and a custom-built imaging system. Hybridization was visualized using fluorescent-labeled DNA targets with a detection limit of 10 fM. Hybridization times of seconds are required for nanomolar target concns., and anal. is performed in minutes.

REFERENCE COUNT: 33

REFERENCE(S):

- (1) Baba, Y; J Chromatogr, B: Biomed Appl 1996, V687, P271 CAPLUS
- (3) Bronk, K; Anal Chem 1995, V67, P2750 CAPLUS
- (5) Chee, M; Science 1996, V274, P610 CAPLUS
- (6) Cronin, M; Hum Mutat 1996, V7, P244 CAPLUS
- (7) Czarnik, A; Curr Opin Chem Biol 1997, V1, P60 CAPLUS

ALL CITATIONS AVAILABLE IN THE RE FORMAT

L5 ANSWER 8 OF 17 CAPLUS COPYRIGHT 2001 ACS ACCESSION NUMBER: 2000:725840 CAPLUS

DOCUMENT NUMBER: 133:305132

TITLE: Self-encoding sensor with microspheres

Walt, David R.; Dickinson, Todd A. INVENTOR(S): Istees of Tufts College, U PATENT ASSIGNEE(S):

PCT Int. Appl., 99 pp. SOURCE:

CODEN: PIXXD2

DOCUMENT TYPE: Patent English LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE _____ WO 2000060332 A2 20001012 WO 2000-US9183 20000406

US 1999-287573 A 19990406 PRIORITY APPLN. INFO.: A microsphere-based analytic chem. system is disclosed in which self-encoding microspheres having distinct characteristic optical respons signatures to specific target analytes may be mixed together while the ability is retained to identify the sensor type and location of each sensor in a random dispersion of large nos. of such sensors in a sensor array using an optically interrogatable encoding scheme. An optical fibe bundle sensor is also disclosed in which individual microsphere sensors are disposed in microwells at a distal end of the fiber bundle and are optically coupled to discrete fibers or groups of fibers within the bundle. The identities of the individual sensors in the array are self-encoded by exposing the array to a ref. analyte while illuminating the array with excitation light energy. A single sensor array may carry thousands of discrete sensing elements whose combined signal provides for substantial improvements in sensor detection limits, response times and signal-to-noise ratios.

ANSWER 13 OF 17 CAPLUS COPYRIGHT 2001 ACS L5

ACCESSION NUMBER: 2000:457254 CAPLUS

DOCUMENT NUMBER: 133:85099

Aldehyde-linker-based ultrasensitive mismatch scannin TITLE:

(ALBUMS) using mutation scanning array

INVENTOR(S): Makrigiorgos, G. Mike

PATENT ASSIGNEE(S): Dana-Farber Cancer Institute, Inc., USA

PCT Int. Appl., 76 pp. SOURCE:

CODEN: PIXXD2

DOCUMENT TYPE: Patent English LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE _____ 20000706 WO 1999-US31177 19991229 WO 2000039345 A1

W: AU, CA, JP, US

RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE

PRIORITY APPLN. INFO.: US 1998-114196 P 19981230 MARPAT 133:85099 OTHER SOURCE(S):

The present method is directed to using a mutation scanning array to AB identify mismatches or polymorphisms in multiple genes or the same gene i multiple individuals. The array can be a chip or a microsphere. Preferably, the array has elements contg. immobilized oligonucleotides that collectively span at least 10 different whole genes. Genes known to predispose an individual to a particular disease are selected to be analyzed. The target DNA sequence is hybridized with a control DNA sequence wherein said control DNA sequence is the wild-type DNA sequence corresponding to the target DNA sequence to create a duplex. The duplex is treated with hydroxylamine to remove any spontaneous aldehydes, and

reacted with a repair glycosylase to convert any mismatched sites in the duplex to reactive sits contg. an aldehyde-conta abasic site. The duplex is then reacted with a labeling compd. of the formula X-Z-Y, wherein X is a detectable moiety, Y is NHNH2, O-NH2 or NH2, and Z is a hydrocarbon, alkylhydroxy, alkylethoxy, alkylester, alkylether, alkylamid or alkylamine, wherein Z may be substituted or unsubstituted; and wherein Z may contain a cleavable group; for a sufficient time and under conditions to covalently bind to the reactive sites. The bound compd. is detected to identify sites of mismatches, where the mismatch occurs is detd., whether the mismatch is a mutation or polymorphisms is detd. Suitable abasic site-reactive reagents include 2- (aminoacetylamino)ethylenediamine (AED), FARP (a fluoresceinated hydroxylamine-contg. compd.), and BARP (a biotinylated hydroxylamine-contg. compd.). Suitable mismatch repair enzymes include MutY and thymine DNA glycosidase.

REFERENCE COUNT:

REFERENCE(S):

(1) Affymetrix Inc; WO 9830883 1998 CAPLUS

(2) Asaeda, A; Analytica Chimica Acta 1998, V365, P35 CAPLUS

(3) Boturyn; Tetrahedron 1997, V53(15), P5485 CAPLUS

(4) Fulton; US 5736330 A 1998 CAPLUS

(5) Gelfand; US 5418149 A 1995 CAPLUS ALL CITATIONS AVAILABLE IN THE RE FORMAT

L5 ANSWER 14 OF 17 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 2000:406810 CAPLUS

DOCUMENT NUMBER: 134:158204

TITLE: Suspension arrays for high throughput, multiplexed

single nucleotide polymorphism genotyping

AUTHOR(S): Armstrong, Barbara; Stewart, Michael; Mazumder,

Abhijit

CORPORATE SOURCE: Axys Pharmaceuticals, La Jolla, CA, USA

SOURCE: Cytometry (2000), 40(2), 102-108

20

CODEN: CYTODQ; ISSN: 0196-4763

PUBLISHER: Wiley-Liss, Inc.

DOCUMENT TYPE: Journal LANGUAGE: English

Genetic diversity can help explain disease susceptibility and differentia AB drug response. The most common type of variant is the single nucleotide polymorphism (SNP). We present a low-cost, high throughput assay for SNP ***oligonucleotide*** probes covalently genotyping. The assay uses attached to fluorescently encoded ***microspheres*** . These probes are hybridized directly to fluorescently labeled polymerase chain reactio (PCR) products and the results are analyzed in a std. flow cytometer. Th genotypes detd. with our assay are in good agreement with those detd. by TaqMan. The range of G/C content for oligonucleotide probes was 23.5-65% in the 17 bases surrounding the SNP. Further optimization of probe lengt and target concn. is shown to dramatically enhance the assay performance for certain SNPs. Using microspheres which have unique fluorescent signatures, we performed a 32-plex assay where we simultaneously detd. th genotypes of eight different polymorphic genes. We demonstrate, for the first time, the feasibility of multiplexed genotyping with suspension using direct hybridization analyses. Our approach enabl ***arrays*** probes to be removed from or added to an ***array*** , enhancing flexibility over conventional chips. The ability to multiplex both the PCR prepn. and the hybridization should enhance the throughput, cost, and speed of the assay.

REFERENCE COUNT:

REFERENCE(S):

- (1) Ahn, S; Nucleic Acids Res 1996, V24, P2623 CAPLUS
- (2) Bailey, D; Curr Opin Biotechnol 1998, V9, P595 CAPLUS
- (3) Chee, M; Science 1996, V274, P610 CAPLUS
- (4) Collins, F; Science 1997, V278, P1580 CAPLUS

L5 ANSWER 15 OF 17 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 2000:306823 CAPLUS

DOCUMENT NUMBER:

133:218244

A microsphere-based assay for multiplexed single TITLE:

nucleotide polymorphism analysis using single base

chain extension

Chen, Jingwen; Iannone, Marie A.; Li, May-Sung; AUTHOR(S):

Taylor, J. David; Rivers, Philip; Nelsen, Anita J.; Slentz-Kesler, Kimberly A.; Roses, Allen; Weiner,

Department of Genomic Sciences, Glaxo Wellcome CORPORATE SOURCE:

Research and Development, Research Triangle Park, NC,

27709-3398, USA

SOURCE: Genome Res. (2000), 10(4), 549-557

CODEN: GEREFS; ISSN: 1088-9051

Cold Spring Harbor Laboratory Press PUBLISHER:

DOCUMENT TYPE: Journal LANGUAGE: English

A rapid, high throughput readout for single-nucleotide polymorphism (SNP) AΒ anal. was developed employing single base chain extension and cytometric ***array*** of fluorescent microspheres. An ***arrav** anal. of an of fluorescent microspheres was coupled with uniquely identifying sequences, termed complementary ZipCodes (cZipCodes), which allowed for multiplexing possibilities. For a given assay, querying a polymorphic base involved extending an oligonucleotide contg. both a ZipCode and a SNP-specific sequence with a DNA polymerase and a pair of fluoresceinated dideoxynucleotides. To capture the reaction products for anal., the ZipCode portion of the ***oligonucleotide*** was hybridized with its cZipCodes on the ***microsphere*** . Flow cytometry was used for microsphere decoding and SNP typing by detecting the fluorescein label captured on the microspheres. In addn. to multiplexing capability, the ZipCode system allows multiple sets of SNPs to be analyzed by a limited set of cZipCode-attached microspheres. A std. set of non-cross reactive ZipCodes was established exptl. and the accuracy of the system was validated by comparison with genotypes detd. by other technologies. a total of 58 SNPs, 55 SNPs were successfully analyzed in the first pass using this assay format and all 181 genotypes across the 55 SNPs were correct. These data demonstrate that the microsphere-based single base chain extension (SBCE) method is a sensitive and reliable assay. It can be readily adapted to an automated, high-throughput genotyping system.

REFERENCE COUNT:

24

REFERENCE(S):

- (1) Chen, X; Genome Res 1999, V9, P492 CAPLUS
- (2) Chen, X; Proc Natl Acad Sci 1997, V94, P10756 CAPLUS
- (3) Cooper, D; Hum Genet 1985, V69, P201 CAPLUS
- (4) Fu, D; Nat Biotechnol 1998, V16, P381 CAPLUS
- (5) Fulton, R; Clin Chem 1997, V43, P1749 CAPLUS

ALL CITATIONS AVAILABLE IN THE RE FORMAT

CAPLUS COPYRIGHT 2001 ACS ANSWER 16 OF 17

ACCESSION NUMBER: 2000:73909 CAPLUS

DOCUMENT NUMBER: 132:261123

TITLE: Techview: Molecular biology: Bead-based fiber-optic

arrays

Walt, David R. AUTHOR(S):

CORPORATE SOURCE: Dep. Chem., Tufts Univ., Medford, MA, 02155, USA

Science (Washington, D. C.) (2000), 287(5452), 451-45 SOURCE:

CODEN: SCIEAS; ISSN: 0036-8075

PUBLISHER: American Association for the Advancement of Science

DOCUMENT TYPE: Journal; General Review LANGUAGE: English

AB 'A review with 26 refather application of fiber ic technol. to bead-based DNA hybridization. Imaging optical fibers each contain an ***array*** of individual fibers, each of which can carry its own lig signal. The distal end of each component fiber's core can be selectively etched to create an ***array*** of wells on the end of the composite imaging optical fiber. ***DNA*** probes are attached to ***microspheres*** of latex or silica, then the ***microspheres*** are deposited in the ***arrays*** of wells on the imaging optical fiber. Hybridization of fluorescently labeled DNA mols. to the generate signals that can captured by a CCD camera.

REFERENCE COUNT: REFERENCE(S):

(1) Abel, A; Anal Chem 1996, V68, P2905 CAPLUS

(3) Blanchard, A; Biosens and Bioelectron 1996, V11, P687 CAPLUS

(5) Case-Green, S; Curr Opin Chem Biol 1998, V2, P404 **CAPLUS**

(8) Elghanian, R; Science 1997, V277, P1078 CAPLUS

(9) Ferguson, J; Nature Biotechnol 1996, V14, P1681 CAPLUS

ALL CITATIONS AVAILABLE IN THE RE FORMAT

CAPLUS COPYRIGHT 2001 ACS L₅ ANSWER 17 OF 17

ACCESSION NUMBER: 1999:819573 CAPLUS

DOCUMENT NUMBER: 132:32909

Decoding of array sensors with microspheres TITLE:

Chee, Mark S.; Stuelpnagel, John R.; Czarnik, Anthony INVENTOR(S):

W.

Illumina, Inc., USA PATENT ASSIGNEE(S): SOURCE:

PCT Int. Appl., 43 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent English LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
WO 9967641 WO 9967641	A2 A3	19991229 20000309	WO 1999-US14387	19990624
AU 9948315 EP 1090293	A1 A2	20000110 20010411	AU 1999-48315 EP 1999-931904	19990624 19990624

AB The invention relates to compns. and methods for decoding microsphere It provides array compns. comprising a substate with a array sensors. surface comprising discrete sites. The compn. further comprises a population of microspheres comprising at least a first and a second subpopulation; each subpopulation comprises a bioactive agent; and an identifier binding ligand that will bind a decoder binding ligand such that the identity of the bioactive agent can be elucidated. microspheres are distributed on the surface. The microspheres comprise a least a first and a second subpopulation each comprising a bioactive agen and do not comprise an optical signature. The microspheres comprise at least a first and a second subpopulation each comprising a bioactive agen and an identifier binding ligand that will bind a decoder binding ligand such that the identification of the bioactive agent can be elucidated. The invention provides methods of decoding an array compn. comprising providing an array compn., and adding a plurality of decoding binding ligands to the array compn. to identify the location of at least a plurality of the bioactive agents. Bioactive agents are proteins or nucleic acids.

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16 L1 AND PY<1999 L2

=> d ibib abs

ANSWER 1 OF 16 CAPLUS COPYRIGHT 2001 ACS L2

2000:858567 CAPLUS ACCESSION NUMBER:

DOCUMENT NUMBER:

PATENT ASSIGNEE(S):

134:26053

TITLE:

Oligonucleotide analog probe arrays immobilized on solid substrates, target nucleic acid analogs, and

probe-target improved hybridization

INVENTOR(S):

McGall, Glenn Hugh; Miyada, Charles Garrett; Cronin, Maureen T.; Tan, Jennifer Dee; ***Chee, Mark S.***

Affymetrix, Inc., USA

SOURCE:

U.S., 35 pp., Cont.-in-part of U.S. Ser. No. 440,742,

abandoned.

CODEN: USXXAM

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PAT	TENT NO.	KIND	DATE	APPLICATION NO.	DATE
US	6156501	Α	20001205	US 1996-630427	19960403
WO	9511995	A1	19950504	WO 1994-US12305	19941026 <
US	5837832	Α	19981117	US 1995-441887	19950516 <
ΕP	742287	A2	19961113	EP 1996-303245	19960509 <
ΕP	742287	A 3	19971229		
	R: DE, FR,	GB, IT	, NL		
US	5861242	À	19990119	US 1997-781550	19970109

MARPAT 134:26053 OTHER SOURCE(S):

22

Oligonucleotide analog arrays attached to solid substrates and methods AB related to the use thereof are provided. The oligonucleotide analogs hybridize to nucleic acids with either higher or lower specificity than corresponding unmodified oligonucleotides. Target nucleic acids which comprise nucleotide analogs are bound to oligonucleotide and oligonucleotide analog arrays. Examples include oligonucleotide probe arrays synthesized using VLSIPS (very large scale immobilized polymer synthesis), amplification of nucleic acid targets with incorporation of nucleotide analogs, and probe-target duplex thermostability anal.

REFERENCE COUNT:

REFERENCE(S):

- (1) Anon; WO 8605518 1986 CAPLUS
- (2) Anon; WO 8910977 1989 CAPLUS
- (3) Anon; WO 8911548 1989 CAPLUS
- (4) Anon; WO 9004652 1990 CAPLUS

=> d ibib abs 2-16

ANSWER 2 OF 16 CAPLUS COPYRIGHT 2001 ACS L2

ACCESSION NUMBER: 2000:124057 CAPLUS

DOCUMENT NUMBER: 132:176568

Arrays of nucleic acid probes and the detection of TITLE:

cystic fibrosis carriers or patients by sequencing by

hybridization

Cronin, Maureen T.; Miyada, Charles Garrett; Hubbell, INVENTOR(S):

Chee, Mark ; Fodor, Stephen P. A.; Earl A.; Huang, Xiaohua C.; Lipshutz, Robert J.; Lobban, Peter

E.; Morris, Macdonald S.; Sheldon, Edward L.

PATENT ASSIGNEE(S):

Affymetrix, Inc., USA

SOURCE:

U.S., 114 pp., Cont.-in-part of U.S. Ser. No. 510,521

CODEN: USXXAM

DOCUMENT TYPE:

LANGUAGE:

Patent English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 6027880	A	20000222	US 1995-544381	19951010
WO 9511995	A1	19950504	WO 1994-US12305	19941026 <
US 5837832	A	19981117	US 1995-441887	19950516 <
US 6045996	A	20000404	US 1996-648709	19960516
US 5861242	A	19990119	US 1997-781550	19970109

Organized arrays of immobilized probes that can be used to rapidly AB sequence the CFTR gene and to detect mutations in carriers or in the diagnosis of patients are described. The arrays consist of several lanes with one carrying an array of overlapping probes corresponding to the wild-type gene. The other lanes contain similar arrays of probes with their sequences systematically altered, one lane is dedicated to substitutions with one base.

REFERENCE COUNT:

REFERENCE(S):

(1) Anon; WO 8910977 1989 CAPLUS

(2) Anon; WO 8911548 1989 CAPLUS

(3) Anon; WO 9000626 1990 CAPLUS

(4) Anon; WO 9003382 1990 CAPLUS

(5) Anon; WO 9210092 1992 CAPLUS

ALL CITATIONS AVAILABLE IN THE RE FORMAT

ANSWER 3 OF 16 CAPLUS COPYRIGHT 2001 ACS L2

ACCESSION NUMBER:

1999:686783 CAPLUS

DOCUMENT NUMBER:

131:318542

TITLE:

Computer-aided visualization and analysis system for

nucleic acid sequence evaluation

INVENTOR(S):

Chee, Mark S.

PATENT ASSIGNEE(S):

Affymetrix, Inc., USA

SOURCE:

U.S., 59 pp., Cont.-in-part of U.S. 5,795,716.

CODEN: USXXAM

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT NO.

PATENT INFORMATION:

KIND DATE

APPLICATION NO. DATE `US 5974164 US 5795716

A 19991026 US 1995-5 37 19951016 A 19980818 US 1994-327525 19941021 <--

PRIORITY APPLN. INFO.:

US 1994-327525 19941021

A computer system (ViewSeq.RTM.) for analyzing nucleic acid sequences is AB provided. The computer system is used to perform multiple methods for detg. unknown bases by analyzing the fluorescence intensities of hybridized nucleic acid probes. The results of individual expts. may be improved by processing nucleic acid sequences together. Comparative anal of multiple expts. is also provided by displaying ref. sequences in one area and sample sequences in another area on a display device. This computer system is useful for identifying disease-related gene mutations or virus gene polymorphism.

REFERENCE COUNT:

REFERENCE(S):

- (1) Anon; WO 89/10977 1989 CAPLUS (2) Anon; WO 9210588 1991 CAPLUS (3) Anon; WO 92/10092 1992 CAPLUS (4) Anon; WO 92/10588 1992 CAPLUS
- (5) Anon; WO 95/11995 1995 CAPLUS

ALL CITATIONS AVAILABLE IN THE RE FORMAT

ANSWER 4 OF 16 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER:

1999:42563 CAPLUS

DOCUMENT NUMBER:

130:106006

TITLE:

Probes and primers for detection of human genetic

polymorphisms and disease diagnosis

INVENTOR(S):

Lipshutz, Robert J.; ***Chee, Mark***; Fan, Jian

Bing; Berno, Anthony Affymetrix, Inc., USA

PATENT ASSIGNEE(S): SOURCE:

PCT Int. Appl., 61 pp. CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE
WO 9858529 A2 19981230 APPLICATION NO. DATE

WO 1998-US12930 19980622 <--

PRIORITY APPLN. INFO.:

19970624 US 1997-50594

Oligonucleotides which can be used as probes for human polymorphisms are AB disclosed. These probes are based on STS developed in the course of the Human Genome Project. The sequences of the STSs, primers for amplification of the fragments, and the genomic location of the fragments are provided at three Web sites, which are given.

ANSWER 5 OF 16 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1999:8155 CAPLUS

DOCUMENT NUMBER:

130:62005

TITLE:

Method to detect gene polymorphisms and monitor allelic expression employing a probe array

INVENTOR(S):

PATENT ASSIGNEE(S):

Affymetrix, Inc., USA
PCT Int. Appl., 30 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

APPLICATION NO. DATE

PATENT NO. KIND DATE

The invention provides methods of monitoring expression levels of ΆB different polymorphic forms of a gene. Such methods entail analyzing genomic DNA from an individual to det. the presence of heterozygous polymorphic forms at a polymorphic site within a transcribed sequence of gene of interest. RNA from a tissue of the individual in which the gene is expressed is then analyzed to det. relative proportions of polymorphic forms in transcripts of the gene. Having identified alleles of a gene that are expressed at different levels, the alleles can be further analyzed to locate a second polymorphism that has a causative role in the different expression levels. The methods are amenable to analyzing large collections of genes simultaneously using arrays of immobilized probes.

REFERENCE COUNT:

REFERENCE(S):

- (1) Apple; US 5567809 A 1996 CAPLUS
- (2) Cantor; US 5503980 A 1996 CAPLUS
- (3) Cantor; US 5631134 A 1997 CAPLUS
- (4) Cantor; US 5795714 A 1998 CAPLUS
- (5) Guo, Z; Nucleic Acids Research 1994, V22(24), P5456 CAPLUS

ALL CITATIONS AVAILABLE IN THE RE FORMAT

ANSWER 6 OF 16 CAPLUS COPYRIGHT 2001 ACS L2

ACCESSION NUMBER:

1998:640369 CAPLUS

DOCUMENT NUMBER:

129:255994

TITLE:

Iterative resequencing of polynucleotides using an

array of probes

INVENTOR(S):

Chee, Mark

PATENT ASSIGNEE(S):

Affymetrix, Inc., USA

PCT Int. Appl., 22 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

SOURCE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE _____ _____ 19980924 WO 1998-US5451 19980319 <--A1

W: JP, US, US

RW: AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, S

20000119 EP 1998-911860 19980319 EP 972078 A1

R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,

IE, FI

US 1997-41435 P 19970320 US 1998-73853 P 19980202 PRIORITY APPLN. INFO.:

WO 1998-US5451 W 19980319

The invention provides iterative methods of analyzing a target nucleic AB acid that represents a variant of a ref. nucleic acid. An array of probe is designed to be complementary to an estd. sequence of a target nucleic The array of probes is then hybridized to the target nucleic acid. The target sequence is reestimated from hybridization pattern of the arra to the target nucleic acid. A further array of probes is then designed t be complementary to the reestimated sequence, and this array is used to obtain a further reestimate of the sequence of the target nucleic acid. By performing iterative cycles of array design and target sequence estn., the estd. sequence of the target converges with the true sequence.

ANSWER 7 OF 16 CAPLUS COPYRIGHT 2001 ACS L2 1998:493727 CAPLUS ACCESSION NUMBER:

DOCUMENT NUMBER: 129:118762

Analysis of genetic polymorphisms and gene copy numbe TITLE:

using oligonucleotide probe arrays

Conin, Maureen T.; Miyada, Charles G.; Hubbell, Earl ; ***Chee, Mark*** ; For, Stephen P. A.; Huang Xiaohua C.; Lipshutz, Robert J.; Lobban, Peter E.; INVENTOR(S):

Morris, Macdonald S.; Sheldon, Edward L.

PATENT ASSIGNEE(S):

SOURCE:

Affymetrix, Inc., USA PCT Int. Appl., 114 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

LANGUAGE:

Patent English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE -----WO 9830883 A2 19980716 WO 9830883 A3 19981029 WO 1998-US6414 19980102 <--WO 9830883 A3 19981029

W: JP, US

RW: AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, S EP 970251 A2 20000112 EP 1998-947218 19980102

R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,

IE, FI

PRIORITY APPLN. INFO.:

US 1997-778794 19970103 WO 1998-US6414 19980102

AB The invention provides methods for detecting variations in polymorphic sites and/or variations in gene copy no. A no. of strategies for comparing a polynucleotide of known sequence (a ref. sequence) with variants of that sequence (target sequence) are provided. The comparison can be performed at the level of entire genomes, chromosomes, genes, exon or introns, or can focus on individual mutant sites and immediately adjacent bases. The strategies allow detection of variations, such as mutations or polymorphisms, in the target sequence irresp. whether a particular variant has previously been characterized. The strategies bot define the nature of a variant and identify its location in a target sequence. The strategies employ arrays of oligonucleotide probes immobilized to a solid support (DNA chips). Target sequences are analyze by detg. the extent of hybridization at particular probes in the array. The strategy in selection of probes facilitates distinction between perfectly matched probes and probes showing single-base or other degrees of mismatches. The strategies usually entails sampling each nucleotide o interest in a target sequence several times, thereby achieving a high degree of confidence in its identity. This level of confidence is furthe increased by sampling of adjacent nucleotides in the target sequence to nucleotides of interest. The present tiling strategies result in sequencing and comparison methods suitable for routine large-scale practice with a high degree of confidence in the sequence output. The methods are particularly useful for anal. of biotransformation genes, suc as cytochromes P 450, and for screening an animal to tissue for the capacity to metabolize a drug.

ANSWER 8 OF 16 CAPLUS COPYRIGHT 2001 ACS

1998:293656 CAPLUS ACCESSION NUMBER:

DOCUMENT NUMBER: 129:6733

Polymorphisms in the human glucose-6 phosphate TITLE:

dehydrogenase locus

Chee, Mark ; Fan, Jian-Bing INVENTOR(S):

PATENT ASSIGNEE(S): Affymetrix, Inc., USA; Chee, Mark; Fan, Jian-Bing

PCT Int. Appl., 38 pp. SOURCE:

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

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PATENT NO. KIND DATE APPLICATION NO. DATE

WO 9818967 A1 19980507 WO 1997-US19665 19971027 <--

US 5856104 A 19990105 US 1997-813508 19970307

AU 9851554 A1 19980522 AU 1998-51554 19971027 <--

PRIORITY APPLN. INFO.:

US 1996-29374 19961028

US 1997-813508 19970307

WO 1997-US19665 19971027
```

The invention provides nucleic acid segments of the glucose-6 phosphate dehydrogenase (G6PD) locus of the human genome including polymorphic sites. Ten polymorphisms are identified in sequence-tagged sites in the human G6PD locus by hybridization to tiling arrays which did not contain repetitive Alu sequences. Allele-specific primers and probes hybridizing to regions flanking these sites are also provided. The nucleic acids, primers and probes are used in applications such as forensics, paternity testing, medicine and genetic anal.

L2 ANSWER 9 OF 16 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1998:13735 CAPLUS

DOCUMENT NUMBER: 128:71643

TITLE: Polymorphisms in human mitochondrial nucleic acid INVENTOR(S): ***Chee, Mark***; Berno, Anthony; Yang, Robert

PATENT ASSIGNEE(S): Affymetrix, Inc., USA SOURCE: Eur. Pat. Appl., 28 pp.

CODEN: EPXXDW

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

PATENT NO. KIND DATE PATENT NO. KIND DATE APPLICATION NO. DATE -----A2 19971217 EP 1997-303327 19970516 <--EP 812922 R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, FI JP 10099085 US 6207960 A2 19980421 JP 1997-163203 19970516 <--B1 20010327 US 1999-295214 19990421 US 1996-17203 P 19960516 US 1996-24206 P 19960820 PRIORITY APPLN. INFO.: US 1997-856642 A1 19970515

AB The invention provides novel human mitochondrial polymorphisms, and probe and primers for detecting the same. Detection of such polymorphisms is useful in a variety of fields such as forensic anal., epidemiol. and preventive medicine. The sequencing of the complete genome of several individuals resulted in the identification of 505 polymorphisms at 182 sites.

L2 ANSWER 10 OF 16 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1997:544330 CAPLUS

DOCUMENT NUMBER: 127:201011

TITLE: Oligonucleotide probe arrays immobilized on chips,

computer programs for hybridization pattern

comparison, and species identification or polymorphis

or mutation characterization

INVENTOR(S): Gingeras, Thomas A.; Mack, David; ***Chee, Mark***

S.*** ; Berno, Anthony J.; Stryer, Lubert; Ghan

Ghassan; Wang, Ching

PATENT ASSIGNEE(S): Affymetrix, Inc., USA; Gingeras, Thomas A.; Mack,

David; Chee, Mark S.; Berno, Anthony J.; Stryer,

Lubert; Ghandour, Ghassan; Wang, Ching

SOURCE: PCT Int. Appl., 132 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

blish LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

APPLICATION NO. DATE PATENT NO. KIND DATE _____ -----_____ A1 19970814 WO 1997-US2102 19970207 <--WO 9729212

This invention provides oligonucleotide-based arrays and methods for AB speciating and phenotyping organisms, for example, using oligonucleotide sequences based on the Mycobacterium tuberculosis rpoB gene. The groups or species to which an organism belongs may be detd. by comparing hybridization patterns of target nucleic acid from the organism to hybridization patterns in a database. An example includes Mycobacterium tuberculosis gene rpoB anal. to identify mutations conferring resistance to rifampicin. A total of 25 M. tuberculosis isolates were analyzed. Seven of these were rifampicin resistant and had mutations. Other than the mutations identified, there were no polymorphisms in any of the 25 isolates. Another example included hybridization patterns (fingerprints) for 7 clin. important Mycobacteria species: M. gordonae, M. chelonae, M. kansasii, M. scrofulaceum, M. avium, M. intracellulare, and M. xenopi.

ANSWER 11 OF 16 CAPLUS COPYRIGHT 2001 ACS L2

Patent

1997:517576 CAPLUS ACCESSION NUMBER:

DOCUMENT NUMBER: 127:186611

Determination of patterns of gene expression by TITLE:

hybridization against dense ordered arrays of

arbitrary oligonucleotides

Lockhart, David J.; ***Chee, Mark***; Gunderson, INVENTOR(S):

Kevin; Lai, Chaoqiang; Wodicka, Lisa; Cronin, Maureen

T.; Lee, Danny; Tran, Huu M.; Matsuzaki, Hajime;

McGall, Glenn H.; Barone, Anthony D.

Affymetrix, Inc., USA; Lockhart, David J.; Chee, Mark PATENT ASSIGNEE(S):

Gunderson, Kevin; Lai, Chaoqiang; Wodicka, Lisa;

Cronin, Maureen T.; Lee, Danny; Tran, Huu M.; et al.

PCT Int. Appl., 214 pp. SOURCE:

CODEN: PIXXD2

DOCUMENT TYPE: Patent

English LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
WO 9727317	A1	19970731	WO 1997-US1603	19970122 <
AU 9722533 PRIORITY APPLN. INF	A1 FO.:	19970820	AU 1997-22533 US 1996-10471 WO 1997-US1603	19970122 < 19960123 19970122

MARPAT 127:186611 OTHER SOURCE(S):

A simplified method for identifying differences in nucleic acid abundance AB (e.g., expression levels) between two or more samples using an array of a large no. (e.g. > 1,000) of arbitrarily selected different oligonucleotid probes where the sequence and location of each different probe is known. Nucleic acid samples (e.g. mRNA) are hybridized to the probe arrays and the pattern of hybridization is detd. Differences in the hybridization patterns between the samples indicates differences in expression of various genes between those samples. Methods of end-labeling a nucleic acid by ligation of a labeled oligonucleotide to it is also described. These methods can be used to detect hybridization by making end-labeling of the immobilized probe dependent upon formation of a hybrid. For example, if the nucleic acid is an RNA, a labeled oligoribonucleotide can be ligated using an RNA ligase. End-labeling capalso be accomplished by with labeled nucleos triphosphates, and attaching them to the nucleic acid using a terminal transferase.

L2 ANSWER 12 OF 16 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1997:283823 CAPLUS

DOCUMENT NUMBER: 126:260132

TITLE: Quantification of level of expression of hundreds to

millions of genes using hybridization to high density synthetic oligonucleotide probe arrays immobilized on

a surface

INVENTOR(S): Lockhart, David J.; Brown, Eugene L.; Wong, Gordon;

Chee, Mark ; Gingeras, Thomas R.; Mittmann, Michael P.; Lipshutz, Robert J.; Fodor, Stephen P. A.

Wang, Chunwei

PATENT ASSIGNEE(S): Affymax Technologies N.V., Neth.; Lockhart, David J.;

Brown, Eugene L.; Wong, Gordon; Chee, Mark; Gingeras, Thomas R.; Mittmann, Michael P.; Lipshutz, Robert J.;

Fodor, Stephen P. A.; Wang, Chunwei

SOURCE: PCT Int. Appl., 126 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE
WO 9710365 A1 19970320 WO 1996-US14839 19960913 <--

This invention provides methods of monitoring the expression levels of a AΒ multiplicity of genes. The methods involve hybridizing a nucleic acid sample to a high d. array of oligonucleotide probes where the high d. array contains oligonucleotide probes complementary to subsequences of target nucleic acids in the nucleic acid sample. In one embodiment, the method involves providing a pool of target nucleic acids comprising RNA transcripts of one or more target genes, or nucleic acids derived from th RNA transcripts, hybridizing said pool of nucleic acids to an array of oligonucleotide probes immobilized on surface, where the array comprising more than 100 different oligonucleotides and each different oligonucleotide is localized in a predetd. region of the surface, the d. of the different oligonucleotides is greater than about 60 different oligonucleotides per 1 cm2, and the oligonucleotide probes are complementary to the RNA transcripts or nucleic acids derived from the RN transcripts; and quantifying the hybridized nucleic acids in the array.

L2 ANSWER 13 OF 16 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1997:14728 CAPLUS

DOCUMENT NUMBER: 126:43598

TITLE: Oligonucleotide analog probe arrays immobilized on

solid substrates, target nucleic acid analogs, and

probe-target improved hybridization

INVENTOR(S): Mcgall, Glenn H.; Miyada, Charles G.; Cronin, Maureen

T.; Tan, Jennifer D.; ***Chee, Mark S.***

PATENT ASSIGNEE(S): USA

SOURCE: Eur. Pat. Appl., 43 pp

CODEN: EPXXDW

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 7

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

* EP 742287 A2 19961113 EP 1996-30 45 19960509 <--

R: DE, FR, GB, IT, NL

US 6156501 A 20001205 US 1996-630427 19960403
PRIORITY APPLN. INFO.: US 1995-440742 A 19950510
US 1996-630427 A 19960403

US 1996-630427 A 19960403 US 1993-143312 B2 19931026 US 1994-284064 B2 19940802

WO 1994-US12305 A2 19941026

OTHER SOURCE(S): MARPAT 126:43598

Oligonucleotide analog arrays attached to solid substrates and methods related to the use thereof are provided. The oligonucleotide analogs hybridize to nucleic acids with either higher or lower specificity than corresponding unmodified oligonucleotides. Target nucleic acids which comprise nucleotide analogs are bound to oligonucleotide and oligonucleotide analog arrays. Examples include oligonucleotide probe arrays synthesized using VLSIPS (very large scale immobilized polymer synthesis), amplification of nucleic acid targets with incorporation of nucleotide analogs, and probe-target duplex thermostability anal.

2 ANSWER 14 OF 16 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1996:458126 CAPLUS

DOCUMENT NUMBER: 125:107046

TITLE: Nucleic acid library arrays, methods for synthesizing

them and methods for sequencing and sample screening

using them

INVENTOR(S): Lockhart, David J.; ***Chee, Mark S.***; Vetter,

Dirk; Diggelmann, Martin

PATENT ASSIGNEE(S): Affymax Technologies N.V., Neth. Antilles

SOURCE: Eur. Pat. Appl., 73 pp.

CODEN: EPXXDW

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
EP 721016	A2	19960710	EP 1995-307501	19951020 <
EP 721016	A 3	19991103		
R: DE, FR,	GB, IT	NL		
US 5556752	Α	19960917	US 1994-327687	19941024 <
US 5770722	Α	19980623	US 1996-664093	19960613 <
PRIORITY APPLN. INFO).:		US 1994-327522	19941021
			US 1994-327687	19941024
			US 1995-533582	19951018

Disclosed are methods for discriminating between fully complementary AB hybrids and those that differ by one or more base pairs and libraries of unimol., double-stranded oligonucleotides on a solid support. In these methods, the quality of hybridization signals on high d. oligonucleotide arrays is improved by (1) the nuclease treatment and (2) ligation reactions. Also provided are libraries of unimol. or intermol., double-stranded oligonucleotides on a solid support. These libraries are useful in pharmaceutical discovery for the screening of numerous biol. samples for specific interactions between the double-stranded oligonucleotides, and peptides, proteins, drugs and RNA. In a related aspect, the present invention provides libraries of conformationally restricted probes on a solid support. The probes are restricted in their movement and flexibility using double-stranded oligonucleotides as scaffolding. The probes are also useful in various screening procedures assocd. with drug discovery and diagnosis. The present invention further provides methods for the prepn. and screening of the above libraries.

L2 'ANSWER 15 OF 16 CAPIES COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1996:452277 CAPLUS

DOCUMENT NUMBER: 125:107029

TITLE: Computer-aided visualization and analysis system for

nucleic acid sequence evaluation

INVENTOR(S): ***Chee, Mark S.*** ; Wang, Chunwei; Jevons, Luis

C.; Bernhart, Derek H.; Lipshutz, Robert J. Affymax Technologies N.V., Neth. Antilles

SOURCE: Eur. Pat. Appl., 78 pp.

CODEN: EPXXDW

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

PATENT ASSIGNEE(S):

PATENT NO. KIND DATE APPLICATION NO. DATE

EP 717113 A2 19960619 EP 1995-307476 19951020 <-EP 717113 A3 19960717

R: DE, FR, GB, IT, NL

US 5795716 A 19980818 US 1994-327525 19941021 <-PRIORITY APPLN. INFO.: US 1994-327525 19941021

AB A computer system (1) for analyzing nucleic acid sequences is provided. The computer system is used to perform multiple methods for detg. unknown bases by analyzing the fluorescence intensities of hybridized nucleic aci probes. The results of individual expts. may be improved by processing nucleic acid sequences together. Comparative anal. of multiple expts. is also provided by displaying ref. sequences in one area and sample sequences in another area on a display device. This computer system is useful for identifying disease-related gene mutations or virus gene polymorphism.

L2 ANSWER 16 OF 16 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1995:713926 CAPLUS

DOCUMENT NUMBER: 123:135082

TITLE: Arrays of oligonucleotide probes immobilized on silic

chips and selective nucleic acid hybridization for

biochemical studies and medical diagnostics

INVENTOR(S): ***Chee, Mark*** ; Cronin, Maureen T.; Fodor,

Stephen P. A.; Gingeras, Thomas R.; Huang, Xiaohua C. Hubbell, Earl A.; Lipshutz, Robert J.; Lobban, Peter

E.; Miyada, Charles Garrett; et al.

PATENT ASSIGNEE(S): Affymax Technologies N.V., Neth.

SOURCE: PCT Int. Appl., 222 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 7

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE
WO 9511995 A1 19950504 WO 1994-US12305 19941026 <--

AB The invention provides chips of immobilized oligonucleotide probes, and methods employing the chips, for comparing a ref. polynucleotide sequence of known sequence with a target sequence showing substantial similarity with the ref. sequence, but differing in the presence of e.g., mutations. Human immunodeficiency virus genes, cystic fibrosis genes, and the human mitochondrial genome exemplify uses of the methods.

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=> s stuelpnagel j/in
L3 ' 0 STUELPNAGE J/IN
=> s stuelpnagel j?/in
            8 STUELPNAGEL J?/IN
L4
=> s 14 and py<1999
     17442092 PY<1999
            0 L4 AND PY<1999
L5
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L6
           71 CHEE M?/AU
=> s 16 and py<1999
     17442092 PY<1999
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           50 L6 AND PY<1999
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       106500 NUCLEIC
      2955456 ACID
        69613 NUCLEIC ACID
                (NUCLEIC(W) ACID)
        34173 OLIGONUCLEOTIDE
         8811 POLYNUCLEOTIDE
           34 L7 AND (DNA OR NUCLEIC ACID OR OLIGONUCLEOTIDE OR POLYNUCLEOTID
L8
=> s 18 and array?
        74102 ARRAY?
           18 L8 AND ARRAY?
L9
=> d ibib abs tot
    ANSWER 1 OF 18 CAPLUS COPYRIGHT 2001 ACS
L9
ACCESSION NUMBER:
                        2000:858567 CAPLUS
DOCUMENT NUMBER:
                        134:26053
TITLE:
                          ***Oligonucleotide*** analog probe
                                                                ***arrays***
                        immobilized on solid substrates, target
                          analogs, and
                        probe-target improved hybridization
                        McGall, Glenn Hugh; Miyada, Charles Garrett; Cronin,
INVENTOR(S):
                        Maureen T.; Tan, Jennifer Dee; ***Chee, Mark S.***
                        Affymetrix, Inc., USA
PATENT ASSIGNEE(S):
SOURCE:
                        U.S., 35 pp., Cont.-in-part of U.S. Ser. No. 440,742,
                        abandoned.
                        CODEN: USXXAM
DOCUMENT TYPE:
                        Patent
LANGUAGE:
                        English
FAMILY ACC. NUM. COUNT:
PATENT INFORMATION:
    PATENT NO.
                 KIND DATE
                                        APPLICATION NO. DATE
                        MARPAT 134:26053
OTHER SOURCE(S):
AB
      ***Oligonucleotide*** analog ***arrays*** attached to solid
    substrates and methods related to the use thereof are provided. The
      ***oligonucleotide*** analogs hybridize to nucleic acids with either
    higher or lower specificity than corresponding unmodified
    oligonucleotides. Target nucleic acids which comprise nucleotide analogs
     are bound to ***oligonucleotide*** and ***oligonucleotide***
             ***arrays*** . Examples include
                                                ***oligonucleotide***
     analoq
```

arrays synthesized using VLSIPS (very large scale immobilized polymer synthesis), plification of ***nucles** ***acid*** targets with incorporation of nucleotide analogs, and probe-target duplex thermostability anal.

L9 ANSWER 2 OF 18 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 2000:124057 CAPLUS

DOCUMENT NUMBER: 132:176568

probes and the detection of cystic fibrosis carriers

or patients by sequencing by hybridization

INVENTOR(S): Cronin, Maureen T.; Miyada, Charles Garrett; Hubbell,

Earl A.; ***Chee, Mark***; Fodor, Stephen P. A.; Huang, Xiaohua C.; Lipshutz, Robert J.; Lobban, Peter

E.; Morris, Macdonald S.; Sheldon, Edward L.

PATENT ASSIGNEE(S): Affymetrix, Inc., USA

SOURCE: U.S., 114 pp., Cont.-in-part of U.S. Ser. No. 510,521

CODEN: USXXAM

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 7

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 6027880	 A	20000222	US 1995-544381	19951010
WO 9511995	A1	19950504	WO 1994-US12305	19941026 <
US 5837832	A	19981117	US 1995-441887	19950516 <
US 6045996	A	20000404	US 1996-648709	19960516
US 5861242	Α	19990119	US 1997-781550	19970109

AB Organized ***arrays*** of immobilized probes that can be used to rapidly sequence the CFTR gene and to detect mutations in carriers or in the diagnosis of patients are described. The ***arrays*** consist of several lanes, with one carrying an ***array*** of overlapping probes corresponding to the wild-type gene. The other lanes contain similar ***arrays*** of probes with their sequences systematically altered, o lane is dedicated to substitutions with one base.

REFERENCE COUNT: 20

REFERENCE(S): (1) Anon; WO 8910977 1989 CAPLUS

(2) Anon; WO 8911548 1989 CAPLUS

(3) Anon; WO 9000626 1990 CAPLUS

(4) Anon; WO 9003382 1990 CAPLUS

(5) Anon; WO 9210092 1992 CAPLUS

ALL CITATIONS AVAILABLE IN THE RE FORMAT

L9 ANSWER 3 OF 18 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1999:8155 CAPLUS

DOCUMENT NUMBER: 130:62005

TITLE: Method to detect gene polymorphisms and monitor

allelic expression employing a probe ***array***

SOURCE: PCT Int. Appl., 30 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

`WO 9856954 19981217 WO 1998-U 442 19980611 <--

EP 1009857 A1 20000621 EP 1998-930246 19980611

The invention provides methods of monitoring expression levels of AB different polymorphic forms of a gene. Such methods entail analyzing ***DNA*** from an individual to det. the presence of heterozygous polymorphic forms at a polymorphic site within a transcribed sequence of a gene of interest. RNA from a tissue of the individual in which the gene is expressed is then analyzed to det. relative proportions of polymorphic forms in transcripts of the gene. Having identified alleles of a gene that are expressed at different levels, the alleles can be further analyzed to locate a second polymorphism that has a causative role in the different expression levels. The methods are amenable to analyzing large collections of genes simultaneously using ***arrays*** of immobilized probes.

REFERENCE COUNT:

REFERENCE(S):

(1) Apple; US 5567809 A 1996 CAPLUS

- (2) Cantor; US 5503980 A 1996 CAPLUS
- (3) Cantor; US 5631134 A 1997 CAPLUS
- (4) Cantor; US 5795714 A 1998 CAPLUS
- (5) Guo, Z; Nucleic Acids Research 1994, V22(24), P5456 CAPLUS

ALL CITATIONS AVAILABLE IN THE RE FORMAT

L9 ANSWER 4 OF 18 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1998:801310 CAPLUS

DOCUMENT NUMBER: 130:178005

TITLE: Mutation detection by ligation to complete n-mer

arrays

Gunderson, Kevin L.; Huang, Xiaohua C.; Morris, AUTHOR(S):

Macdonald S.; Lipshutz, Robert J.; Lockhart, David J.

Chee, Mark S.

Affymetrix, Inc., Santa Clara, CA, 95051, USA CORPORATE SOURCE: SOURCE:

Genome Res. (***1998***), 8(11), 1142-1153

CODEN: GEREFS; ISSN: 1088-9051

PUBLISHER: Cold Spring Harbor Laboratory Press

DOCUMENT TYPE: Journal

LANGUAGE: English

A new approach to comparative ***nucleic*** ***acid*** anal. is described that uses the ligation of ***DNA*** targets to ***arrays*** contg. complete sets of covalently attached oligonucleotides of length eight and nine. The combination of enzymic or chem. ligation with a directed comparative anal. avoids many of the intrinsic difficulties assocd. with hybridization-based de novo sequence reconstruction methods described previously. Double-stranded ***DNA*** target were fragmented and labeled to produce quasirandom populations of 5' termini suitable for ligation and detection on the ***arrays*** sequences of 1.2-kb targets were verified with >99.9% accuracy. Mutation in target sequences were detected by directly comparing the intensity pattern obtained for an unknown with that obtained for a known ref. sequence. For targets of moderate length (1.2 kb), 100% of the mutations in the queried sequences were detected with 9-mer ***arrays*** . higher complexity targets (2.5 and 16.6 kb), a relatively high percentage of mutations (90% and 66%, resp.) were correctly identified with a low false-pos. rate of <0.03 percent. The methods described provide a genera approach to analyzing ***nucleic*** ***acid*** samples on the basis of the interpretation of sequence-specific patterns of hybridizatio and ligation on complete n-mer ***oligonucleotide*** ***arravs***

REFERENCE COUNT: 24

REFERENCE(S): (1) Bains, W; J Theor Biol 1988, V135, P303 CAPLUS

(2) Belyi, I; Comput Appl Biosci 1997, V13, P205

CAPLUS

-) Bornet, O; Nucleic Acid les 1995, V23, P788 CAPLUS
- (4) Broude, N; Proc Natl Acad Sci 1994, V91, P3072 CAPLUS
- (5) Caetano-Anolles, G; Nat Biotechnol 1996, V14, P1668 CAPLUS

ALL CITATIONS AVAILABLE IN THE RE FORMAT

ANSWER 5 OF 18 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER:

1998:640369 CAPLUS

DOCUMENT NUMBER:

129:255994

TITLE:

Iterative resequencing of polynucleotides using an

array of probes

INVENTOR(S): PATENT ASSIGNEE(S):

Chee, Mark Affymetrix, Inc., USA PCT Int. Appl., 22 pp.

SOURCE:

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: PATENT INFORMATION:

> PATENT NO. KIND DATE -----

APPLICATION NO. DATE ______

WO 9841657

A1 19980924 WO 1998-US5451 19980319 <--

AB The invention provides iterative methods of analyzing a target ***acid*** . The ***array*** of probes is then hybridized to the target ***nucleic*** ***acid*** . The target sequence is reestimated from hybridization pattern of the ***array*** to the target ***nucleic*** ***acid*** . A further ***array*** of probes is then designed to be complementary to the reestimated sequence, and this ***array*** is used to obtain a further reestimate of the sequence of the target ***nucleic*** ***acid*** . By performing ***acid*** . By performing iterative cycles of ***array*** design and target sequence estn., the estd. sequence of the target converges with the true sequence.

Ь9 ANSWER 6 OF 18 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER:

1998:493727 CAPLUS

DOCUMENT NUMBER:

129:118762

TITLE:

SOURCE:

INVENTOR(S):

Analysis of genetic polymorphisms and gene copy numbe using ***oligonucleotide*** probe ***arrays***
Cronin, Maureen T.; Miyada, Charles G.; Hubbell, Earl A.; ***Chee, Mark***; Fodor, Stephen P. A.; Huang

Xiaohua C.; Lipshutz, Robert J.; Lobban, Peter E.; Morris, Macdonald S.; Sheldon, Edward L.

PATENT ASSIGNEE(S):

Affymetrix, Inc., USA PCT Int. Appl., 114 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
WO 9830883	A2	19980716	WO 1998-US6414	19980102 <
WO 9830883	A 3	19981029		

The invention provides methods for detecting variations in polymorphic sites and/or variations in gene copy no. A no. of strategies for comparing a ***polynucleotide*** of known sequence (a ref. sequence) with variants of that sequence (target sequence) are provided. The comparison can be performed at the level of entire genomes, chromosomes, genes, exons or introns, or can focus on individual mutant sites and immediately adjacent bases. The strategies allow detection of variations such as mutations or polymorphisms, in the target sequence irresp. whethe a particular variant has previously been characterized. The strategies both define the nature of a variant and identify its location in a target sequence. The strategies employ ***arrays*** of

oligonucleotide probes immobilized to a solid support (***DNA chips). Target sequences are analyzed by detg. the extent of hybridization at particular probes in the ***array***. The strategy in selection of probes facilitates distinction between perfectly matched probes and probes showing single-base or other degrees of mismatches. The strategies usually entails sampling each nucleotide of interest in a target sequence several times, thereby achieving a high degree of confidence in its identity. This level of confidence is further increase by sampling of adjacent nucleotides in the target sequence to nucleotides of interest. The present tiling strategies result in sequencing and comparison methods suitable for routine large-scale practice with a high degree of confidence in the sequence output. The methods are particularl useful for anal. of biotransformation genes, such as cytochromes P 450, and for screening an animal to tissue for the capacity to metabolize a drug.

L9 ANSWER 7 OF 18 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER:

1998:293656 CAPLUS

DOCUMENT NUMBER:

129:6733

TITLE:

SOURCE:

Polymorphisms in the human glucose-6 phosphate

dehydrogenase locus

INVENTOR (S):

Chee, Mark ; Fan, Jian-Bing

PATENT ASSIGNEE(S):

Affymetrix, Inc., USA; Chee, Mark; Fan, Jian-Bing

PCT Int. Appl., 38 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE
WO 9818967 A1 19980507 WO 1997-US19665 19971027 <--

The invention provides ***nucleic*** ***acid*** segments of the glucose-6 phosphate dehydrogenase (G6PD) locus of the human genome including polymorphic sites. Ten polymorphisms are identified in sequence-tagged sites in the human G6PD locus by hybridization to tiling ***arrays*** which did not contain repetitive Alu sequences.

Allele-specific primers and probes hybridizing to regions flanking these sites are also provided. The nucleic acids, primers and probes are used in applications such as forensics, paternity testing, medicine and genetic anal.

L9 ANSWER 8 OF 18 CAPLUS COPYRIGHT 2001 ACS ACCESSION NUMBER: 1997:544330 CAPLUS

DOCUMENT NUMBER:

127:201011

TITLE:

Oligonucleotide probe ***arrays***
immobilized on chips, computer programs for
hybridization pattern comparison, and species

identification or polymorphism or mutation

aracterization

INVENTOR(S): Gingeras, Thomas A.; Mack, David; ***Chee, Mark*** * * * S.*** ; Berno, Anthony J.; Stryer, Lubert; Ghan

Ghassan; Wang, Ching

PATENT ASSIGNEE(S): Affymetrix, Inc., USA; Gingeras, Thomas A.; Mack,

David; Chee, Mark S.; Berno, Anthony J.; Stryer,

Lubert; Ghandour, Ghassan; Wang, Ching

SOURCE: PCT Int. Appl., 132 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

---------------WO 9729212 A1 19970814 WO 1997-US2102 19970207 <--

AB This invention provides ***oligonucleotide*** -based ***arrays*** and methods for speciating and phenotyping organisms, for example, using ***oligonucleotide*** sequences based on the Mycobacterium tuberculos rpoB gene. The groups or species to which an organism belongs may be detd. by comparing hybridization patterns of target ***nucleic*** ***acid*** from the organism to hybridization patterns in a database. An example includes Mycobacterium tuberculosis gene rpoB anal. to identif mutations conferring resistance to rifampicin. A total of 25 M. tuberculosis isolates were analyzed. Seven of these were rifampicin resistant and had mutations. Other than the mutations identified, there were no polymorphisms in any of the 25 isolates. Another example include hybridization patterns (fingerprints) for 7 clin. important Mycobacteria species: M. gordonae, M. chelonae, M. kansasii, M. scrofulaceum, M. avium M. intracellulare, and M. xenopi.

ANSWER 9 OF 18 CAPLUS COPYRIGHT 2001 ACS L9

ACCESSION NUMBER: 1997:517576 CAPLUS

DOCUMENT NUMBER: 127:186611

TITLE: Determination of patterns of gene expression by

hybridization against dense ordered ***arrays***

of arbitrary oligonucleotides

INVENTOR(S): Lockhart, David J.; ***Chee, Mark***; Gunderson,

Kevin; Lai, Chaoqiang; Wodicka, Lisa; Cronin, Maureen

T.; Lee, Danny; Tran, Huu M.; Matsuzaki, Hajime;

McGall, Glenn H.; Barone, Anthony D.

PATENT ASSIGNEE(S): Affymetrix, Inc., USA; Lockhart, David J.; Chee, Mark

Gunderson, Kevin; Lai, Chaoqiang; Wodicka, Lisa;

Cronin, Maureen T.; Lee, Danny; Tran, Huu M.; et al.

SOURCE: PCT Int. Appl., 214 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO. KIND DATE KIND DATE APPLICATION NO. DATE

WO 9727317 A1 19970731

WO 1997-US1603 19970122 <--

OTHER SOURCE(S): MARPAT 127:186611

A simplified method for identifying differences in ***nucleic*** ***acid*** abundances (e.g., expression levels) between two or more samples using an ***array*** of a large no. (e.g. > 1,000) of arbitrarily selected different ***oligonucleotide*** probes where the sequence and location of each different probe is known. ***

acid sample (e.g. mRNA) are hybridize to the probe and the pattern of hybridization is detd. Differences i ***arrays*** the hybridization patterns between the samples indicates differences in expression of various genes between those samples. Methods of ***nucleic*** ***acid*** by ligation of a labeled end-labeling a ***oligonucleotide*** to it is also described. These methods can be used to detect hybridization by making end-labeling of the immobilized probe dependent upon formation of a hybrid. For example, if the ***acid*** is an RNA, a labeled oligoribonucleotide ***nucleic*** can be ligated using an RNA ligase. End-labeling can also be accomplishe by with labeled nucleoside triphosphates, and attaching them to the ***acid*** using a terminal transferase.

L9 ANSWER 10 OF 18 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1997:490277 CAPLUS

TITLE: Genomics and ***DNA*** chips.

AUTHOR(S): Lockhart, David J.; ***Chee, Mark S.***
CORPORATE SOURCE: Affymetrix, Santa Clara, CA, 95051, USA

SOURCE: Book of Abstracts, 214th ACS National Meeting, Las

Vegas, NV, September 7-11 (***1997***), PHYS-115.

American Chemical Society: Washington, D. C.

CODEN: 64RNAO

DOCUMENT TYPE: Conference; Meeting Abstract

LANGUAGE: English

The most basic characterization of a cell or an organism involves the AB detn. of the sequence of the genomic ***DNA*** and the expression levels of the encoded genes. We have developed the use of high-d. of chem. synthesized oligonucleotides (***DNA*** ***arrays*** for the characterization of genomic sequence as well as cellular patterns ***arrays*** are designed based on sequence of gene expression. The information alone, and are synthesized in situ using a combination of photolithog. and ***oligonucleotide*** chem. We currently scan tens of kilobases for mutations or polymorphisms, and quant. monitor the expression levels of thousands of genes simultaneously. Data will be presented showing the expression patterns for over 6200 genes in yeast (all designated ORFs), and over 6500 genes in humans and mouse. These approaches scale very directly, and this is enabling the amt. of sequence information obtained and the no. of mRNAs monitored to increase rapidly. We are also designing ***arrays*** based on genomic and cDNA sequence for the simultaneous monitoring of all E. coli genes, nearly half of all Drosophila genes, and roughly 50,000 human genes. Highly parallel method of this type should prove useful for exploring gene function and the mechanisms of cellular processes, as well as for finding the genes assocd with disease.

L9 ANSWER 11 OF 18 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1997:283823 CAPLUS

DOCUMENT NUMBER: 126:260132

TITLE: Quantification of level of expression of hundreds to millions of genes using hybridization to high density

synthetic ***oligonucleotide*** probe

arrays immobilized on a surface

INVENTOR(S): Lockhart, David J.; Brown, Eugene L.; Wong, Gordon;

Chee, Mark ; Gingeras, Thomas R.; Mittmann, Michael P.; Lipshutz, Robert J.; Fodor, Stephen P. A.

Wang, Chunwei

PATENT ASSIGNEE(S): Affymax Technologies N.V., Neth.; Lockhart, David J.;

Brown, Eugene L.; Wong, Gordon; Chee, Mark; Gingeras, Thomas R.; Mittmann, Michael P.; Lipshutz, Robert J.;

Fodor, Stephen P. A.; Wang, Chunwei

SOURCE: PCT Int. Appl., 126 pp.

CODEN: PIXXD2

DOCUMENT TYPE: LANGUAGE:

atent qlish

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
WO 9710365	A1	19970320	WO 1996-US14839	19960913 <
US 6040138	Α	20000321	US 1995-529115	19950915
CA 2232047	AA	19970320	CA 1996-2232047	19960913 <
AU 9670734	A1	19970401	AU 1996-70734	19960913 <
EP 853679	A1	19980722	EP 1996-931598	19960913 <

This invention provides methods of monitoring the expression levels of a AB multiplicity of genes. The methods involve hybridizing a ***nucleic*** sample to a high d. ***array*** ***acid*** of ***oligonucleotide*** probes where the high d. ***array*** contact
oligonucleotide probes complementary to subsequences of target nucleic acids in the ***nucleic*** ***acid*** sample. In one embodiment, the method involves providing a pool of target nucleic acids comprising RNA transcripts of one or more target genes, or nucleic acids derived from the RNA transcripts, hybridizing said pool of nucleic acids ***oligonucleotide*** probes immobilized on ***array*** οf surface, where the ***array*** comprising more than 100 different oligonucleotides and each different ***oligonucleotide*** is localize in a predetd. region of the surface, the d. of the different oligonucleotides is greater than about 60 different oligonucleotides per ***oligonucleotide*** probes are complementary to the RN cm2, and the transcripts or nucleic acids derived from the RNA transcripts; and quantifying the hybridized nucleic acids in the ***array***

ANSWER 12 OF 18 CAPLUS COPYRIGHT 2001 ACS L9

ACCESSION NUMBER:

1997:14728 CAPLUS

DOCUMENT NUMBER:

126:43598

TITLE:

Oligonucleotide analog probe ***arrays***

immobilized on solid substrates, target

nucleic ***acid*** analogs, and

probe-target improved hybridization

INVENTOR(S):

Mcgall, Glenn H.; Miyada, Charles G.; Cronin, Maureen

T.; Tan, Jennifer D.; ***Chee, Mark S.***

PATENT ASSIGNEE(S):

USA

SOURCE:

Eur. Pat. Appl., 43 pp

CODEN: EPXXDW

DOCUMENT TYPE:

Patent

LANGUAGE:

FAMILY ACC. NUM. COUNT:

English

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
EP 742287 EP 742287	A2 A3	19961113 19971229	EP 1996-303245	19960509 <
US 6156501	A	20001205	US 1996-630427	19960403

OTHER SOURCE(S): MARPAT 126:43598

Oligonucleotide analog ***arrays*** attached to solid AB substrates and methods related to the use thereof are provided. The ***oligonucleotide*** analogs hybridize to nucleic acids with either higher or lower specificity than corresponding unmodified oligonucleotides. Target nucleic acids which comprise nucleotide analogs ***oligonucleotide*** and ***oligonucleotide*** are bound to

analog ***arrays*** . Examples include *** ligonucleotide*** prob ***arrays*** synthesized using VLSIPS (very trge scale immobilized polymer synthesis), amplification of ***nucleic*** ***acid*** targets with incorporation of nucleotide analogs, and probe-target duplex thermostability anal.

L9 ANSWER 13 OF 18 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1996:749764 CAPLUS

DOCUMENT NUMBER: 126:43230

TITLE: Expression monitoring by hybridization to high-densit

AUTHOR(S): Lockhart, David J.; Dong, Helin; Byrne, Michael C.;

Follettie, Maximillian T.; Gallo, Michael V.; ***Chee, Mark S.***; Mittmann, Michael; Wang, Chunwei; Kobayashi, Michiko; Horton, Heidi; Brown,

Eugene L.

CORPORATE SOURCE: Affymetrix, Santa Clara, CA, 95051, USA

SOURCE: Nat. Biotechnol. (***1996***), 14(13), 1675-1680

CODEN: NABIF9; ISSN: 1087-0156

PUBLISHER: Nature Publishing Co.

DOCUMENT TYPE: Journal LANGUAGE: English

The human genome encodes approx. 100,000 different genes, and at least AΒ partial sequence information for nearly all will be available soon. Sequence information alone, however, is insufficient for a full understanding of gene function, expression, regulation, and splice-site variation. Because cellular processes are governed by the repertoire of expressed genes, and the levels and timing of expression, it is important to have exptl. tools for the direct monitoring of large nos. of mRNAs in parallel. We have developed an approach that is based on hybridization t small, high-d. ***arrays*** contg. tens of thousands of synthetic oligonucleotides. The ***arrays*** are designed based on sequence information alone and are synthesized in situ using a combination of photolithog. and ***oligonucleotide*** chem. RNAs present at a frequency of 1:300,000 are unambiguously detected, and detection is quant over more than three orders of magnitude. This approach provides a way t use directly the growing body of sequence information for highly parallel exptl. investigations. Because of the combinatorial nature of the chem. and the ability to synthesize small ***arrays*** contg. hundreds of thousands of specifically chosen oligonucleotides, the method is readily scalable to the simultaneous monitoring of tens of thousands of genes.

L9 ANSWER 14 OF 18 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1996:735351 CAPLUS

DOCUMENT NUMBER: 126:15255

TITLE: Detection of heterozygous mutations in BRCA1 using

high density ***oligonucleotide*** ***arrays***

and two-color fluorescence analysis

AUTHOR(S): Hacia, Joseph G.; Brody, Lawrence C.; ***Chee, Mark

*** ; Fodor, Stephen P. A.; Collins, Francis

CORPORATE SOURCE: Natl. Center Human Genome Res., Natl. Insts. Health,

Bethesda, MD, 20892, USA

SOURCE: Nat. Genet. (***1996***), 14(4), 441-447

CODEN: NGENEC; ISSN: 1061-4036

PUBLISHER: Nature Publishing Co.

DOCUMENT TYPE: Journal LANGUAGE: English

AB The ability to scan a large gene rapidly and accurately for all possible heterozygous mutations in large nos. of patient samples will be crit. for the future of medicine. We have designed high-d. ***arrays*** consisting of over 96,600 oligonucleotides 20-nucleotides (nt) in length to screen for a wide range of heterozygous mutations in the 3.45-kilobase (kb) exon 11 of the hereditary breast and ovarian cancer gene BRCA1. Ref

and test samples were co-hybridized to these ***arrays*** and differences in hybridization patterns quantitate by two-color anal. Fourteen of fifteen patient samples with known mutations were accurately diagnosed, and no false pos. mutations were identified in 20 control samples. Eight single nucleotide polymorphisms were also readily detected. ***DNA*** chip-based assays may provide a valuable new technol. for high-throughput cost-efficient detection of genetic

L9 ANSWER 15 OF 18 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1996:641840 CAPLUS

DOCUMENT NUMBER: 125:294156

alterations.

TITLE: Accessing genetic information with high-density

AUTHOR(S): ***Chee, Mark*** ; Yang, Robert; Hubbell, Earl;

Berno, Anthony; Huang, Xiaohua C.; Stern, David; Winkler, Jim; Lockhart, David J.; Morris, Macdonald

S.; Fodor, Stephen P. A.

CORPORATE SOURCE: Affymetrix, Santa Clara, CA, 95051, USA

SOURCE: Science (Washington, D. C.) (***1996***),

274(5287), 610-614

CODEN: SCIEAS; ISSN: 0036-8075

DOCUMENT TYPE: Journal LANGUAGE: English

Rapid access to genetic information is central to the revolution taking place in mol. genetics. The simultaneous anal. of the entire human mitochondrial genome is described here. ***DNA*** ***arrays*** contg. up to 135,000 probes complementary to the 16.6-kilobase human mitochondrial genome were generated by light-directed chem. synthesis. It two-color labeling scheme was developed that allows simultaneous comparison of a polymorphic target to a ref. ***DNA*** or RNA. Complete hybridization patterns were revealed in a matter of minutes. Sequence polymorphisms were detected with single-base resoln. and unprecedented efficiency. The methods described are generic and can be used to address a variety of questions in mol. genetics including gene expression, genetic linkage, and genetic variability.

L9 ANSWER 16 OF 18 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1996:458126 CAPLUS

DOCUMENT NUMBER: 125:107046

TITLE: ***Nucleic*** ***acid*** library

arrays , methods for synthesizing them and methods for sequencing and sample screening using the Lockhart, David J.; ***Chee, Mark S.***; Vetter,

Dirk; Diggelmann, Martin

PATENT ASSIGNEE(S): Affymax Technologies N.V., Neth. Antilles

SOURCE: Eur. Pat. Appl., 73 pp.

CODEN: EPXXDW

DOCUMENT TYPE: Patent LANGUAGE: English

LANGUAGE: Englis FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

INVENTOR(S):

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
EP 721016 EP 721016	A2 A3	19960710 19991103	EP 1995-307501	19951020 <
US 5556752 US 5770722	A A	19960917 19980623	US 1994-327687 US 1996-664093	19941024 < 19960613 <

AB Disclosed are methods for discriminating between fully complementary hybrids and those that differ by one or more base pairs and libraries of

unimol., double-stranded oligonucleotides on a solid support. In these methods, the quality of hybridization signals or high d.

oligonucleotide ***arrays*** is improved by (1) the nucleas treatment and (2) ligation reactions. Also provided are libraries of unimol. or intermol., double-stranded oligonucleotides on a solid support These libraries are useful in pharmaceutical discovery for the screening of numerous biol. samples for specific interactions between the double-stranded oligonucleotides, and peptides, proteins, drugs and RNA. In a related aspect, the present invention provides libraries of conformationally restricted probes on a solid support. The probes are restricted in their movement and flexibility using double-stranded oligonucleotides as scaffolding. The probes are also useful in various screening procedures assocd. with drug discovery and diagnosis. present invention further provides methods for the prepn. and screening o the above libraries.

ANSWER 17 OF 18 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 1995:792433 CAPLUS

DOCUMENT NUMBER: 123:307444

TITLE: ***oligonucleotide*** probe ***arrays***

to access genetic diversity

AUTHOR (S): Lipshutz, R. J.; Morris, D.; ***Chee, M.***

Hubbell, E.; Kozal, M. J.; Shah, N.; Shen, N.; Yanq,

R.; Fodor, S. P. A.

CORPORATE SOURCE: Affymetrix, Santa Clara, CA, USA

SOURCE: BioTechniques (***1995***), 19(3), 442-7

CODEN: BTNQDO; ISSN: 0736-6205

DOCUMENT TYPE: Journal English LANGUAGE:

As the Human Genome Project and related efforts identify and det. the AB ***DNA*** sequences of human genes, it is important that highly relia and efficient mechanisms are found to access individual genetic variation It is only through a greater understanding of genetic diversity that the true benefit of the Human Genome project will be realized. One approach, hybridization to high-d. ***arrays*** of oligonucleotides, is a fast and effective means of accessing this genetic variation. Light-directed chem. synthesis has been used to generate miniaturized, high-d.

arrays of ***oligonucleotide*** probes. Application-specif ***arrays*** Of """Oligonucleotide
oligonucleotide probe ***array*** designs have been develop for the rapid screening of characterized genes. Dedicated instrumentatio and software have been developed for ***array*** hybridization, fluorescence detection and data acquisition and anal. In a specific and challenging application, ***oligonucleotide*** probe ***arrays*** have been used to screen the reverse transcriptase and protease genes of the highly polymorphic HIV-I genome to explore genetic diversity and detect mutations conferring resistance to antiviral drugs. Results from this application strongly suggest that * ***oligonucleotide*** ***arrays*** will be a powerful tool for rapid investigations in

sequence checking pathogen detection, expression monitoring and ***DNA*** mol. recognition.

ANSWER 18 OF 18 CAPLUS COPYRIGHT 2001 ACS Ь9

ACCESSION NUMBER: 1995:713926 CAPLUS

DOCUMENT NUMBER: 123:135082

Arrays of ***oligonucleotide*** TITLE: probes

immobilized on silica chips and selective

acid ***nucleic*** hybridization for

biochemical studies and medical diagnostics

Chee, Mark ; Cronin, Maureen T.; Fodor, INVENTOR(S):

> Stephen P. A.; Gingeras, Thomas R.; Huang, Xiaohua C. Hubbell, Earl A.; Lipshutz, Robert J.; Lobban, Peter

E.; Miyada, Charles Garrett; et al.

PATENT ASSIGNEE(S):

Affymax Technologies N.V., Neth.

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SOURCE:
                          CT Int. Appl., 222 pp.
                          DEN: PIXXD2
DOCUMENT TYPE:
                        Patent
LANGUAGE:
                        English
FAMILY ACC. NUM. COUNT:
PATENT INFORMATION:
     PATENT NO.
                    KIND DATE
                                          APPLICATION NO. DATE
     -----
                                          WO 9511995
                     A1
                           19950504
                                          WO 1994-US12305 19941026 <--
AΒ
     The invention provides chips of immobilized ***oligonucleotide***
    probes, and methods employing the chips, for comparing a ref.
       ***polynucleotide*** sequence of known sequence with a target sequence
     showing substantial similarity with the ref. sequence, but differing in
     the presence of e.g., mutations. Human immunodeficiency virus genes,
     cystic fibrosis genes, and the human mitochondrial genome exemplify uses
     of the methods.
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L10
            8 STUELPNAGEL J?/AU
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       106500 NUCLEIC
       2955456 ACID
        69613 NUCLEIC ACID
                 (NUCLEIC (W) ACID)
        34173 OLIGONUCLEOTIDE
         8811 POLYNUCLEOTIDE
L11
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L12
            3 L11 AND ARRAY?
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         8922 MICROPARTICLE?
        25074 MICROSPHERE? OR MICROPARTICLE?
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=> s l1 (p) array?
        74102 ARRAY?
L2
          172 L1 (P) ARRAY?
=> s 12 (p) tag?
        26600 TAG?
L3
            3 L2 (P) TAG?
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L3 ANSWER 1 OF 3 CAPLUS COPYRIGHT 2001 ACS

ACCESSION NUMBER: 2001:152872 CAPLUS

DOCUMENT NUMBER: 134:203076

Liquid array technology TITLE:

INVENTOR(S):

Chandler, Mark B. Luminex Corporation, USA PATENT ASSIGNEE(S): PCT Int. Appl., 62 pp. SOURCE:

CODEN: PIXXD2

DOCUMENT TYPE: Patent

LANGUAGE: English FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE ----------WO 2001014589 A2 20010301 WO 2000-US22769 20000821

L3 ANSWER 2 OF 3 CAPLUS COPYRIGHT 2001 ACS ACCESSION NUMBER: 1999:474214 CAPLUS

DOCUMENT NUMBER: 131:171701

TITLE: Spherical cellulose. Crosslinking of epichlorohydrin Bordallo, E.; Sabatier, J.; Bermello, A.; Cabrera, M. AUTHOR(S):

CORPORATE SOURCE: Union de Investigacion Produccion de la celulosa del

Bagazo UIP, Havana, Cuba

Rev. Deriv. Cana Azucar (1998), 32(3), 84-90 SOURCE:

CODEN: SDCAAR; ISSN: 1025-3076

Instituto Cubano de Investigaciones de los Derivados PUBLISHER:

de la Cana de Azucar

DOCUMENT TYPE: Journal; (computer magnetic disk)

LANGUAGE: Spanish

17 REFERENCE COUNT:

REFERENCE(S): (4) Buschle-Diller, G; Cellulose 1995, V2(3), P179

CAPLUS

(6) Dautzenberg, H; Cell Chem Technol 1980, V14(5),

P633 CAPLUS

(9) Kuniak, L; Cellulose Chem Technol 1974, V8(3),

P247 CAPLUS

(12) Okuma, S; US 5064950 1991 CAPLUS

(14) Porath, J; J Chromatogr 1971, V60(2), P167 CAPLU

ALL CITATIONS AVAILABLE IN THE RE FORMAT

ANSWER 3 OF 3 CAPLUS COPYRIGHT 2001 ACS ACCESSION NUMBER: 1999:268797 CAPLUS

DOCUMENT NUMBER: 131:70544

TITLE: In Situ Assembly of Colloidal Particles into

Miniaturized Biosensors

Velev, O. D.; Kaler, E. W. AUTHOR(S):

CORPORATE SOURCE: Center for Molecular and Engineering Thermodynamics

Department of Chemical Engineering, University of

Delaware, Newark, DE, 19716, USA Langmuir (1999), 15(11), 3693-3698

CODEN: LANGD5; ISSN: 0743-7463

American Chemical Society PUBLISHER:

DOCUMENT TYPE: Journal English LANGUAGE:

REFERENCE COUNT: 43

SOURCE:

REFERENCE(S): (7) Braun, E; Nature 1998, V391, P775 CAPLUS

(8) Burmeister, F; Adv Mater 1998, V10, P495 CAPLUS

(9) Chiruvolu, S; Science 1994, V264, P1753 CAPLUS

(10) Collings, A; Rep Prog Phys 1997, V60, P1397

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